

Applicant or Patente : Darwin J. Prockop; Ruth F. Pereira; Dennis B. Leeper; Michael D. O'Hara; Joseph Kulkosky; Donald Phinney; Alexey Laptev; Jose Caro

International Serial or Patent No.: PCT/US96/04407
International Filing Date: 28 March 1996

Attorney's Docket No.: TJU-1857

For: ISOLATED STROMAL CELLS AND METHODS OF USING THE SAME

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(e) and 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION THOMAS JEFFERSON UNIVERSITY
ADDRESS OF ORGANIZATION 11th and Walnut Streets
Philadelphia, Pennsylvania 19107

TYPE OF ORGANIZATION:

- (XX) UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- () TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
- () NONPROFIT SCIENTIFIC OR EDUCATIONAL ORGANIZATION QUALIFIED UNDER A NONPROFIT ORGANIZATION STATUTE OF A STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
- () WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- () WOULD QUALIFY AS A NONPROFIT SCIENTIFIC OR EDUCATIONAL ORGANIZATION QUALIFIED UNDER A NONPROFIT ORGANIZATION STATUTE OF A STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled ISOLATED STROMAL CELLS AND METHODS OF USING THE SAME by inventor(s) Darwin J. Prockop; Ruth F. Pereira; Dennis B. Leeper; Michael D. O'Hara; Joseph Kulkosky; Donald Phinney; Alexey Laptev; Jose Caro described in

() the specification filed herewith.

00513918-130897

(XX) international application serial no. PCT/US96/04407,
filed 28 March 1996.
() patent no. _____, issued _____.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9* if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME:

ADDRESS:

() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.
(37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Abram M. Goldfinger

TITLE IN ORGANIZATION Director, Technology Transfer

ADDRESS OF PERSON SIGNING 11th and Walnut Streets

Philadelphia, Pennsylvania 19107


SIGNATURE

9/23/97
DATE